

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re Application of:

Kiko ONO et al.

Serial No.: 10/022,263

Group Art Unit: 2876

Filed: December 20, 2001

Examiner: Daniel Walsh

For: **PORTABLE CARD UNIT  
PROCESSING APPARATUS**

PTO Confirmation No.: 7649

**INFORMATION DISCLOSURE STATEMENT AND  
STATEMENT PURSUANT TO 37 CFR 1.97(c)**

Commissioner for Patents  
P.O. Box 1450  
Alexandria, VA 22313-1450

June 4, 2003

Sir:

The attention of the Patent and Trademark Office is hereby directed to the references listed on the attached Form PTO-1449. One copy of each of these references is attached.

This Information Disclosure Statement is being submitted after issuance of a first official action on the merits and expiration of the three month period following the filing date or the entry in the national stage for the above-captioned application, but prior to issuance of either a final official action, a Notice of Allowance, or an action that otherwise closes prosecution in the application.

The undersigned hereby certifies that each item of information contained in this statement was first cited in a communication from a foreign patent office in a counterpart foreign application not more than three months prior to the filing of this statement.

The above information is presented so that the Patent and Trademark Office may, in the first instance, determine any materiality thereof to the claimed invention. See 37 CFR 1.104(a) concerning the PTO duty to consider and use any such information. It is respectfully requested that the information be expressly considered during the prosecution of this application, and that the references cited in the attached Form PTO-1449 be made of record therein and appear on the first page of any patent to issue therefrom.

The Commissioner is authorized to charge Deposit Account No. 01-2340 for any fee which is deemed by the Patent and Trademark Office to be required to effect consideration of this statement.

Respectfully submitted,

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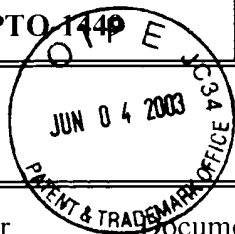
**23850**

PATENT TRADEMARK OFFICE

MNL/lms

Enclosures: Search Report  
PTO 1449  
6 References

<b>INFORMATION DISCLOSURE CITATION</b> PTO 1449	Atty. Docket No. <b>011599</b>	Serial No. <b>10/022,263</b>
	Applicant(s): <b>Akiko ONO et al.</b>	
	Filing Date: <b>December 20, 2001</b>	Group Art Unit: <b>2876</b>



### U.S. PATENT DOCUMENTS

Examiner Initial	Document No.	Name	Date	Class	Subclass	Filing Date (If appropriate)
_____	AA	5,748,737	Daggar	5-5-98		11-14-94
_____	AB	6,070,794	Niwata et al.	6-6-00		9-22-97
_____	AC					
_____	AD					
_____	AE					

### FOREIGN PATENT DOCUMENTS

Document No.			Date	Country	Translation (Yes or No)
_____	AF	EP 0 820 043 A2 and A3	1-21-98	Europe	Yes
_____	AG	EP 0 793 205 A2	9-3-97	Europe	Yes
_____	AH	EP 0 872 816	10-21-98	Europe	Abstract
_____	AI				
_____	AJ				

### OTHER DOCUMENTS

_____	AK	<b>Text of First Office Action (with English translation) in corresponding international Chinese Patent Application Number 99816753.3 for PORTABLE CARD UNIT PROCESSING APPARATUS</b>
_____	AL	
Examiner		Date Considered